




## Curriculum Vitae

### ARTHUR A. STEIN

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Political Science  
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Dated:  
November 2019

#### **Current Position**

Distinguished Professor of Political Science, University of  
California, Los Angeles,

Faculty Affiliate, Luskin School of Public Affairs, UCLA

#### **Education**

Ph.D., Yale University, Political Science, 1978

M.Phil., Yale University, Political Science, 1975

M.A., Yale University, International Relations, 1974

A.B., Cornell University, Magna Cum Laude, International  
Relations, 1972

#### **Positions Held**

Institute for Physical Sciences (IPS), McLean, VA,  
Senior Social Scientist, 2003–2005 (consultant, 2005-  
2014)

University of California Institute on Global Conflict and  
Cooperation (IGCC), Senior Fellow, 1996–1997

The Brookings Institution, Guest Scholar, June –  
December 1983

U.S. Department of State, Policy Planning Staff, Member,  
1982–1983

#### **Selected Professional Activities**

Editorial Board, *Global Summitry: Politics, Economics and  
Law in International Governance*, since 2014

UCLA, Council on Planning and Budget (CPB), 2015–  
2018

Co-editor, *American Political Science Review*, 2007-2012

Editorial Board, *International Organization*, 1996–2001

Director, Economics and Security Project [Funded by Pew  
Charitable Trusts], Center for International Relations,  
UCLA, 1992–1995; Co-director, 1989–1992

Editorial Board, *International Interactions*, 1987–1992

Editorial Board, *American Journal of Political Science*,  
1988–1991

## Selected Publications

### Books

*Affect, Interest, and Political Entrepreneurs in Ethnic and Religious Conflicts*. Edited with Ayelet Harel-Shalev. Special issue, *Ethnic and Racial Studies* 40, 12 (October 2017).

Reprinted as:

*Affect, Interest, and Political Entrepreneurs in Ethnic and Religious Conflicts*. Edited with Ayelet Harel-Shalev. London: Routledge, 2018.

*No More States?: Globalization, National Self-Determination, and Terrorism*. Edited with Richard Rosecrance. Lanham, Maryland: Rowman & Littlefield Publishers, 2006.

*The Domestic Bases of Grand Strategy*. Edited with Richard Rosecrance. Cornell Studies in Security Affairs. Ithaca, New York: Cornell University Press, 1993.

大战略的国内基础. Chinese translation. Studies in Grand Strategy series. Beijing, China: Peking University Press, 2005.

*Why Nations Cooperate: Circumstance and Choice in International Relations*. Ithaca, New York: Cornell University Press, 1990.

*The Nation at War*. Baltimore, Maryland: The Johns Hopkins University Press, 1980.

### Recent Articles

Structural Constraints and Human Agency in Global Summitry and the Liberal Order (with Alan S. Alexandroff). *Global Summitry* (2020). Forthcoming. [doi](#)

[Ancestral and Instrumental in the Politics of Ethnic and Religious Conflict](#) (with Ayelet Harel-Shalev). *Ethnic and Racial Studies* 40, 12 (October 2017): 1981-2000. [doi](#)

[Ethnicity, Extraterritoriality, and International Conflict](#). *Ethnic and Racial Studies* 40, 12 (October 2017): 2020-2038. [doi](#)

[The Great Trilemma: Are Globalization, Democracy, and Sovereignty Compatible?](#) *International Theory* 8, 2 (July 2016): 297-340. [doi](#)

[Respite or Resolutions: Recurring Crises and the Origins of War](#). In *The Next Great War? The Roots of World War I and the Risk of U.S.-China Conflict*, pp. 13-23, 221-225. Edited by Richard N. Rosecrance and Steven E. Miller. Cambridge: MIT Press, 2015. [doi](#)

In the ensuing pages, articles are clustered by subfields:

[International Relations Theory](#)

[International Organizations](#)

[Political Economy](#)

[Foreign Policy and National Security](#) and

[Nationalism, Ethnicity, and Political Violence](#)

**Articles:****International  
Relations  
Theory**

Structural Constraints and Human Agency in Global Summitry and the Liberal Order (with Alan S. Alexandroff). *Global Summitry* (2020). Forthcoming. [doi](#)

**Realism/Neorealism.** In *The International Encyclopedia of the Social and Behavioral Sciences*, 2nd ed., vol. 20, pp. 26-30. Edited by James D. Wright. New York: Elsevier, 2015. [doi](#)

**Respite or Resolutions: Recurring Crises and the Origins of War.** In *The Next Great War? The Roots of World War I and the Risk of U.S.-China Conflict*, pp. 13–23, 221–225. Edited by Richard N. Rosecrance and Steven E. Miller. Cambridge: MIT Press, 2015. [doi](#)

**Power Politics and the Powerless.** In *Back to Basics: State Power in a Contemporary World*, pp. 219–248. Edited by Martha Finnemore and Judith Goldstein. New York: Oxford University Press, 2013. [doi](#)

**Trade and Conflict: Uncertainty, Strategic Signaling, and Interstate Disputes.** In *Economic Interdependence and International Conflict: New Perspectives on an Enduring Debate*, pp. 111–126. Edited by Edward D. Mansfield and Brian Pollins. Ann Arbor: University of Michigan Press, 2003.

**Communications Revolutions and International Relations** (with Cherie Steele). In *Technology, Development, and Democracy: International Conflict and Cooperation in the Information Age*, pp. 25–53. Edited by Juliann Emmons Allison. SUNY Series in Global Politics. Albany: State University of New York Press, 2002.

**Realism/Neorealism.** In *International Encyclopedia of the Social and Behavioral Sciences*, vol. 19, pp. 12812–15. Edited by Neil J. Smelser and Paul B. Baltes. New York: Pergamon Press, 2001.

**Counselors, Kings, and International Relations: From Revelation to Reason, and Still No Policy-relevant Theory.** In *Being Useful: Policy Relevance and International Relations Theory*, pp. 50–74. Edited by Miroslav Nincic and Joseph Lepgold. Ann Arbor: University of Michigan Press, 2000.

**Introduction: Prospects and Problems in the New Century** (with John Mueller). In *Peace, Prosperity, and Politics*, pp. 1–11. Edited by John Mueller. Series on The Political Economy of Global Interdependence. Boulder, Colorado: Westview, 2000.

**The Justifying State: Why Anarchy Doesn't Mean No Excuses.** In *Peace, Prosperity, and Politics*, pp. 235–255. Edited by John Mueller. Series on The Political Economy of Global Interdependence. Boulder, Colorado: Westview, 2000.

**The Limits of Strategic Choice: Constrained Rationality and Incomplete Explanation.** In *Strategic Choice and International Relations*, pp. 197–228. Edited by David A. Lake and Robert Powell. Princeton, New Jersey: Princeton University Press, 1999.

**When Misperception Matters.** *World Politics* 34 (July 1982): 505–526. [doi](#)

**The Politics of Linkage.** *World Politics* 33 (October 1980): 62–81. [doi](#)

**Articles:****International  
Relations  
Theory  
(cont.)**

[Evaluating War: Outcomes and Consequences](#) (with Bruce M. Russett). In *Handbook of Political Conflict: Theory and Research*, pp. 399-422. Edited by Ted Robert Gurr. New York: The Free Press, 1980.

[History, Quantitative Analysis, and the Balance of Power](#) (with Alan Alexandroff and Richard Rosecrance). *Journal of Conflict Resolution* 21 (March 1977): 35-56. [doi](#)

[Conflict and Cohesion: A Review of the Literature](#). *Journal of Conflict Resolution* 20 (March 1976): 143-172. [doi](#)

[Power, Balance of Power and Status in Nineteenth Century International Relations](#) (with Richard Rosecrance, Alan Alexandroff, and Brian Healy). *Sage Professional Papers in International Studies*, no. 29 (1974).

[The Balance of Power in International History: Theory and Reality](#) (with Brian Healy). *Journal of Conflict Resolution* 17 (March 1973): 33-61. [doi](#)

**Articles:****International  
Institutions**

**Neoliberal Institutionalism.** In *The Oxford Handbook on International Relations*, pp. 201–221. Edited by Christian Reus-Smit and Duncan Snidal. New York: Oxford University Press, 2008. [doi](#)

**Incentive compatibility and global governance: existential multilateralism, a weakly confederal world, and hegemony.** In *Can the World be Governed? Possibilities for Effective Multilateralism*, pp. 17–84. Edited by Alan S. Alexandroff. Studies in International Governance. Waterloo, Canada: Wilfrid Laurier University Press, for The Centre for International Governance Innovation (CIGI), 2008.

**Introduction.** In *The New Great Power Coalition: Toward a World Concert of Nations*, pp. 1–17. Edited by Richard Rosecrance. Carnegie Commission on Preventing Deadly Conflict. Lanham, Maryland: Rowman & Littlefield Publishers, 2001.

**Constrained Sovereignty: The Growth of International Intrusiveness.** In *The New Great Power Coalition: Toward a World Concert of Nations*, pp. 261–281. Edited by Richard Rosecrance. Carnegie Commission on Preventing Deadly Conflict. Lanham, Maryland: Rowman & Littlefield Publishers, 2001.

**Non-Proliferation Regimes** (with Greg Rasmussen). In *The New Great Power Coalition: Toward a World Concert of Nations*, pp. 181–202. Edited by Richard Rosecrance. Carnegie Commission on Preventing Deadly Conflict. Lanham, Maryland: Rowman & Littlefield Publishers, 2001.

**The Theory of Overlapping Clubs** (with Richard Rosecrance). In *The New Great Power Coalition: Toward a World Concert of Nations*, pp. 221–234. Edited by Richard Rosecrance. Carnegie Commission on Preventing Deadly Conflict. Lanham, Maryland: Rowman & Littlefield Publishers, 2001.

**Conclusion** (with Jennifer Kibbe and Richard Rosecrance). In *The New Great Power Coalition: Toward a World Concert of Nations*, pp. 367–379. Edited by Richard Rosecrance. Carnegie Commission on Preventing Deadly Conflict. Lanham, Maryland: Rowman & Littlefield Publishers, 2001.

**Coordination and Collaboration: Regimes in an Anarchic World.** *International Organization* 36 (Spring 1982): 299–324. [doi](#)

Reprinted in:

*Neorealism și Neoliberalism: Dezbateră Contemporană.* Edited by David A. Baldwin. Romanian translation by Mihaela Asavi, Ramona-Elena Lupu, and Mihnea-Adrian Vilceanu. Iași: Institutul European, 2010.

*Neorealism and Neoliberalism: The Contemporary Debate.* Edited by David A. Baldwin. New York: Columbia University Press, 1993.

*Key Concepts in International Political Economy.* The Library of International Political Economy Series, no. 5. Edited by David A. Baldwin. London: Edward Elgar Publishing, 1993.

*International Regimes.* Edited by Stephen D. Krasner. Ithaca, New York: Cornell University Press, 1983.

**Articles:**  
**Political**  
**Economy**

[The Great Trilemma: Are Globalization, Democracy, and Sovereignty Compatible?](#) *International Theory* 8, 2 (July 2016): 297–340. [doi](#)

[Sanctions, Inducements, and Market Power: Political Economy of International Influence.](#) In *Sanctions, Statecraft, and Nuclear Proliferation*, pp. 29–55. Edited by Etel Solingen. New York: Cambridge University Press, 2012. [doi](#)

[Globalization and Its Effects: Introduction and Overview](#) (with Richard N. Rosecrance and Etel Solingen). In *No More States?: Globalization, National Self-Determination, and Terrorism*, pp. 3–22. Edited by Richard N. Rosecrance and Arthur A. Stein. Lanham, Maryland: Rowman & Littlefield Publishers, 2006.

[Governments, Economic Interdependence, and International Cooperation.](#) In *Behavior, Society, and International Conflict*, vol. 3, pp. 241–324. Edited by Philip E. Tetlock, Jo L. Husbands, Robert Jervis, Paul C. Stern, and Charles Tilly. New York: Oxford University Press, for the National Research Council of the National Academy of Sciences, 1993.

[The Hegemon's Dilemma: Great Britain, the United States, and the International Economic Order.](#) *International Organization* 38 (Spring 1984): 355–386. [doi](#)

Reprinted in:

*The International Trading System: Globalization and History.* Edited by Kevin O'Rourke. Critical Perspectives on the Global Trading System and the WTO, edited by Kym Anderson and Bernard Hoekman. Northampton, Massachusetts: Edward Elgar Publishing, 2004.

*Theory and Structure in International Political Economy.* Edited by Charles Lipson and Benjamin J. Cohen. Cambridge, Massachusetts: The MIT Press, 1999.

*The Rise of Free Trade. Volume 4: Free Trade Reappraised: The New Secondary Literature.* Edited by Cheryl Schonhardt-Bailey. London: Routledge, 1997.

*The International System and the International Political Economy: State Structures and Strategies.* The Library of International Political Economy Series, no. 6. Edited by Joseph M. Grieco. London: Edward Elgar Publishing, 1993.

[The Political Economy of National Elections](#) (with Thad A. Brown). *Comparative Politics* 14 (July 1982): 479–497. [doi](#)

Erratum: 15 (April 1983): 280. [doi](#)

[Balance of Payments Policy in the Kennedy Administration.](#) *Papers, Peace Science Society (International)* 23 (1974): 113–122.

[Interdependence: Myth or Reality?](#) (with Richard Rosecrance). *World Politics* 26 (October 1973): 1–27. [doi](#)

Reprinted in:

*Theorien der Internationalen Politik: Einführung und Texte.* Edited by Ursula Lehmkuhl. München/Wien: Oldenbourg Verlag, 1996, 2nd ed. 1997, 3rd ed. 2001.

*Globalism Versus Realism: International Relations' Third Debate.* Edited by Ray Maghroori and Bennett Ramberg. Boulder, Colorado: Westview Press, 1982.

*Comparative Modernization.* Edited by Cyril E. Black. New York: The Free Press, 1976.

**Articles:**  
**Foreign**  
**Policy**  
**and**  
**National**  
**Security**

- The Attenuation of Revolutionary Foreign Policy (with Chad E. Nelson). *International Politics* 52, 5 (2015): 626–636. [doi](#)
- [Recalcitrance and initiative: US hegemony and regional powers in Asia and Europe after World War II](#). *International Relations of the Asia-Pacific* 14, 1 (January 2014): 147–177. [doi](#)
- [Constraints and Determinants: Structure, Purpose, and Process in the Analysis of Foreign Policy](#). In *Approaches, Levels, and Methods of Analysis in International Politics: Crossing Boundaries*, pp. 189–209. Edited by Harvey Starr. New York: Palgrave Macmillan, 2006.
- [Geostructuralism and International Politics: The End of the Cold War and the Regionalization of International Security](#) (with Steven E. Lobell). In *Regional Orders: Building Security in a New World*, pp. 101–122. Edited by David A. Lake and Patrick M. Morgan. University Park, Pennsylvania: Pennsylvania State University Press, 1997.
- [Beyond Realism: The Study of Grand Strategy](#) (with Richard Rosecrance). In *The Domestic Bases of Grand Strategy*, pp. 3–21. Edited by Richard Rosecrance and Arthur A. Stein. Ithaca, New York: Cornell University Press, 1993.
- [Domestic Constraints, Extended Deterrence, and the Incoherence of Grand Strategy: The U.S., 1938–1950](#). In *The Domestic Bases of Grand Strategy*, pp. 96–123. Edited by Richard Rosecrance and Arthur A. Stein. Ithaca, New York: Cornell University Press, 1993.
- [Strategy as Politics, Politics as Strategy: Domestic Debates, Statecraft, and Star Wars](#). In *The Logic of Nuclear Terror*, pp. 186–210. Edited by Roman Kolkowicz. New York: Allen and Unwin, 1987.

## Articles:

**Nationalism,  
Ethnicity,  
and  
Political  
Violence**

[Ancestral and Instrumental in the Politics of Ethnic and Religious Conflict](#) (with Ayelet Harel-Shalev). *Ethnic and Racial Studies* 40, 12 (October 2017): 1981-2000. [doi](#)

Reprinted in:

*Affect, Interest, and Political Entrepreneurs in Ethnic and Religious Conflicts*. Edited by Arthur A. Stein and Ayelet Harel-Shalev. London: Routledge, 2018.

[Ethnicity, Extraterritoriality, and International Conflict](#). *Ethnic and Racial Studies* 40, 12 (October 2017): 2020-2038. [doi](#)

Reprinted in:

*Affect, Interest, and Political Entrepreneurs in Ethnic and Religious Conflicts*. Edited by Arthur A. Stein and Ayelet Harel-Shalev. London: Routledge, 2018.

[Analyzing “Long Data” on Collective Violence in Indonesia](#) (with David A. Meyer). *Asian Journal of Social Science* 43 (2015): 613–633. [doi](#)

[Separatism’s Final Country](#) (with Richard Rosecrance). *Foreign Affairs* 87, 4 (July-August 2008): 141–145. <http://www.jstor.org/stable/20032725>

Reprinted in:

*International Relations in Perspective: A Reader*. Edited by Henry R. Nau. Washington, DC: CQ Press, 2010.

[Incentive compatibility and global governance: existential multilateralism, a weakly confederal world, and hegemony](#). In *Can the World be Governed? Possibilities for Effective Multilateralism*, pp. 17–84. Edited by Alan S. Alexandroff. Studies in International Governance. Waterloo, Canada: Wilfrid Laurier University Press, for The Centre for International Governance Innovation (CIGI), 2008.

[The Dilemma of Devolution and Federalism: Secessionary Nationalism and the Case of Scotland](#) (with Richard N. Rosecrance). In *No More States?: Globalization, National Self-Determination, and Terrorism*, pp. 235–245. Edited by Richard Rosecrance and Arthur A. Stein. Lanham, Maryland: Rowman & Littlefield Publishers, 2006.



**Professional  
Presentations  
since 2016**

- “The BRICs and the G20. A club of consequence, support or disruption for the G20 and global governance.” Presentation at the Annual Meeting of the International Studies Association, Atlanta, March 18, 2016.
- “Macromotives: Problems in Inferring Collective Intentions.” Paper prepared for presentation at “Scaling Forms: Dialogues Across Disciplines Conference,” University of Chicago, Chicago, IL, April 1, 2016.
- “Strategic Speech and International Politics.” Paper presented at the Annual Meeting of the American Political Science Association, Philadelphia, Sept. 1, 2016.
- “Great Powers and Stability: The United States as a Source of Order and Disorder.” Presentation at the Annual Meeting of the American Political Science Association, Philadelphia, Sept. 3, 2016.
- “System Structure and Regional Differentiation.” Presentation at the Annual Meeting of the International Studies Association, Baltimore, February 23, 2017.
- “Realism, Equilibrium Theory, and Historical Change.” Presentation at the Annual Meeting of the International Studies Association, Baltimore, February 25, 2017.
- “Proposal for an Institutionalization of Track II Diplomacy” (with Robert F. Trager). Presentation at the Policy Levers for Great Power Peace Workshop, Yale University, New Haven, CT, May 2017.
- “The Democracy Particle and the Search for the Public Opinion-Foreign Policy Link” (with Carley Fernandez). Presentation at the 4th East Asian Security Workshop, Osaka, Japan, July 24, 2017.
- “Cooperation and the Mitigation of Autonomy and Externalities.” Presentation at the Annual Meeting of the American Political Science Association, San Francisco, September 1, 2017.
- “Progress and Regress in the Development of a Liberal Order.” Presentation at the Annual Meeting of the American Political Science Association, San Francisco, September 2, 2017.
- “Roundtable: International Cooperation Revisited: Power, Rules, Circumstance, and Choice: In Honor of Arthur Stein.” Presentation at the Annual Meeting of the International Studies Association, San Francisco, April 6, 2018.
- “Misconstruing the Liberal International Order and its Evolution.” Presentation at Annual Meeting of the International Studies Association, San Francisco, April 7, 2018.
- “Political Conflict and Stability in Dynamic Networks: Proposal Overview.” Presentation at Kickoff Meeting of Project on Political Conflict and Stability in Dynamic Networks, Los Alamos National Laboratory, June 1, 2018.
- “Nationalism in a Globalizing Age: From Viability to Acceptability.” Presentation at the Annual Meeting of the International Studies Association, Toronto, March 29, 2019.

**Professional  
Presentations  
since 2016  
(cont.)**

“Structural Balance Theory in Attitudinal and Relationship Networks: Applications and Analytic Extensions.” Paper delivered at the Annual Meeting of the International Studies Association, Toronto, March 30, 2019.

“A New Measure of State Relationships and Foreign Policy Similarity” (with Natalia Lamberova, Anton Sobolev, and Robert F. Trager). Paper delivered at the Annual Meeting of the International Studies Association, Toronto, March 30, 2019.

“Nationalism in a Globalizing Age: From Viability to Acceptability.” Workshop presentation, Department of Political Science, University of California, Irvine, May 31, 2019.

“The Vicissitudes of Liberal Orders.” Presentation at the Annual Meeting of the American Political Science Association, Washington, DC, Aug. 29, 2019.

“Stability in International Relations” (with Zhiqian Wu). Paper delivered at the joint Annual Meeting of the International Security Studies Section of the International Studies Association (ISSS) – International Security and Arms Control Section of the American Political Science Association (IS), Denver, October 18, 2019.